

**U.S. Fish and Wildlife Service
Office of Subsistence Management
2016 Partners for Fisheries Monitoring Program**

Catalog of Federal Domestic Assistance (CFDA) Number: 15.636

Funding Opportunity Announcement Number: **F15AS00211**
SUBMISSION DEADLINE: August 31, 2015, 5:00 PM Alaska Time.

Notice of Funding Opportunity

I. Description of Funding Opportunity

The Department of the Interior, through the United States Fish and Wildlife Service, Office of Subsistence Management (OSM), administers the Partners for Fisheries Monitoring Program (Partners Program). OSM is seeking proposals for the Partners Program that strengthen Alaska Native and rural involvement in Federal subsistence management. The Partners Program is a competitive grant that is directed at providing funding for biologist /social scientist/educator positions in Alaska Native and rural organizations with the intent of increasing the organizations ability to participate in Federal subsistence management. In addition, the program supports a variety of opportunities for local, rural students to connect with subsistence resource monitoring and management through science camps and paid internships. The Partners Program encompasses awards made through Federal financial assistance under the authority of the Fish and Wildlife Coordination Act, 16 USC 661 to 667 (d), and the Alaska National Interest Lands Conservation Act (ANILCA), 16 USC 3101-3233. This Notice of Funding Opportunity, number **F15AS00211**, is the single designated competition through which multiple awards will be made. All proposals and required information from the Application Checklist are to be submitted to the Office of Subsistence Management by August 31, 2015, 5:00 PM Alaska Time.

II. Federal Award Information

The Office of Subsistence Management will award multiple cooperative agreements as a result of the selection made from this request for proposals. The anticipated start date is January 1, 2016 but may be later depending on the project. Both new and existing Partners are eligible to compete for new awards. The total award will be up to \$800,000 for all programs beginning in 2016. Annual individual award amounts for biologist, social scientist, or educator positions with or without an internship program may not exceed \$170,000. This award includes salary, travel, internships, office space and supplies, etc. These positions may be full or part-time within a calendar year. Requests for funding for biologist, social scientist, or educator positions may be up to four years. However, it is encouraged to apply for the full four years as the next cycle of funding will not occur until 2020. Part-time biologist, part-time social scientist, or part-time educator positions with or without an internship program must be pro-rated accordingly. We anticipate selection of both full time and part time positions. Funding is contingent upon future appropriations.

Award will be made as cooperative agreements. When a cooperative agreement award is made, substantial involvement on the part of the USFWS is required for the successful completion of the activities to be funded. The USFWS anticipates responsibility for the following, however final tasking will be determined before the official award is executed:

- evaluate and interview applicants with Partner organizations and jointly select biologist, social scientist, and/or educator positions;

- participate and collaborate jointly with the recipient in carrying out the scope of work;
- aid in training and mentoring the individuals in the Partner Program positions;
- help present program accomplishments to the Regional Advisory Councils, the Federal Subsistence Board, and the general public;
- develop educational workshops for Partner professionals;
- review and approve any proposed modifications to the cooperative agreement by the recipient prior to their adoption or use and the award of subsequent funds;
- site visits will be performed to meet federal guidelines for substantial involvement (505 DM 2, Sec 2.9), and to ensure program objectives are being met;
- halt one or more project activities at any time, if performance specifications are not being met.

III. Eligibility Information

Eligible Applicants:

Eligible applicants include Regional Native Organizations, rural Non-Profit Organizations, and Federally recognized Tribal Governments. Eligible applicants submitting proposals should have the necessary technical and administrative abilities and resources to ensure successful execution of the program. The Partners Program funding is intended to provide Alaska Native and rural organizations with additional support to increase their capacity in Federal Subsistence management and not duplicate existing programs.

U.S. non-profit, non-governmental organizations **must** provide a copy of their Section 501(c)(3) or (4) status determination letter received from the Internal Revenue Service.

Federal law mandates that all entities applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the System for Award Management (SAM). See Title 2 of the Code of Federal Regulations (CFR), Part 25 for more information. Exemptions: The SAM registration requirement does not apply to individuals submitting an application on their own behalf and not on behalf of a company or other for-profit entity, state, local or Tribal government, academia or other type of organization.

Federal Award may not be made to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements additionally if an applicant has not fully complied with the requirements by the time the Service is ready to make the award, the Service may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

A. DUNS Registration

Request a DUNS number online at <http://fedgov.dnb.com/webform>. U.S.-based entities may also request a DUNS number by telephone by calling the Dun & Bradstreet Government Customer Response Center, Monday – Friday, 7 AM to 8 PM CST at the following numbers:

U.S. and U.S Virgin Islands: 1-866-705-5711

Alaska and Puerto Rico: 1-800-234-3867 (Select Option 2, then Option 1)

For Hearing Impaired Customers Only call: 1-877-807-1679 (TTY Line)

Once assigned a DUNS number, entities are responsible for maintaining up-to-date information with Dun & Bradstreet.

B. Entity Registration in SAM

All applicants (unless the applicant is an individual or Federal awarding agency that is excepted from those requirements under 2 CFR §25.110(b) or (c) or has an exception approved by the Federal awarding agency under 2 CFR §25.110(d) is required to:

- i. Be registered in SAM before submitting its application;
- ii. Provide a valid unique entity identifier in its application; and
- iii. Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

Register in SAM online at <http://www.sam.gov/>. Once registered in SAM, entities must renew and revalidate their SAM registration at least every 12 months from the date previously registered. Entities are strongly urged to revalidate their registration as often as needed to ensure that their information is up to date and in synch with changes that may have been made to DUNS and IRS information. Foreign entities who wish to be paid directly to a United States bank account must enter and maintain valid and current banking information in SAM.

C. Excluded Entities

Applicant entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits will not be considered for Federal funding, as applicable to the funding being requested under this Federal program.

D. Cost Sharing or Matching:

There is no requirement for cost sharing, matching or cost participation. However, applicants are encouraged to document matching contributions.

IV. Application Requirements

Application should be in Times New Roman, 11 point font, single spaced, and have 1 inch margins. To be considered for funding under this funding opportunity, an application must contain:

A. Application for Federal Assistance form

A completed, signed and dated Application for Federal Assistance form is required and can be found at (<http://apply07.grants.gov/apply/FormLinks?family=15>). Do not include other Federal sources of funding, requested or approved, in the total entered in the “Federal” funding box on the Application for Federal Assistance form. Enter only the amount being requested under this program in the “Federal” funding box. Include any other Federal sources of funding in the total funding entered in the “Other” box.

B. Project Summary

Briefly summarize the proposal, in one page or less. Include the title of the proposal, geographic location, and a brief overview of the need for the biologist, social scientist or educator. Goal(s), objectives, specific program activities, internships, anticipated outputs and outcomes should also be included in this section.

C. Project Narrative

1. **Statement of Need:** Describe why this position is necessary (significance/value) and include supporting information. Summarize previous or on-going efforts (of you/your organization, and other organizations or individuals) that are relevant to the proposed work. Explain the successes or failures of past efforts and how your proposed program builds on them. If you have received funding previously (from the U.S. Fish and Wildlife Service or any other donor) for this specific program, provide a summary of the funding, associated activities and products/outcomes.

2. Program Description: The program can focus exclusively on supporting a biologist, social scientist, educator or a combination of positions. For all positions describe the proposed program in detail including:

- type of position (for example, senior social scientist, lead fishery technician, etc.),
- duration the position will be filled within a calendar year and if this position will be fulltime or part time,
- minimum education required for the position (high school diploma, bachelor of science, master of science) and/ or minimum work experience required for the position,
- responsibilities of the position (be as specific as possible), and
- monthly schedule of activities that is reflective of the duration of the position, provide a timetable indicating roughly when activities or project milestones are to be accomplished.

If applicable the following items should be included in the position description:

- meetings and conferences requiring travel to provide information on the Partners Program and the purpose and audience,
- internship program, be as specific as possible (such as when, where, number of interns, intern selection, logistics, schedule, monetary reimbursement to be awarded to interns such as salary, scholarships, travel, food, and lodging),
- rural education program and curriculum, be as specific as possible (such as when, where, number of students, student selection, logistics, schedule, monetary reimbursement to be awarded to students such as scholarships, travel, food, and lodging), and
- Participation and coordination with the Alaska Native Science and Engineering Program (ANSEP) through the University of Alaska. Specifically identify and describe involvement with the ANSEP program. For more information on the ANSEP program refer to <http://www.ansep.net> or contact Beth Spangler, National Partnership Director (907) 229-8565, bspangler@usgs.

3. Filling the Position: Identify the following: methods for advertising the position (for example: specific newspapers, websites, on-line recruitment, etc.), interview process for screening applicants, the role of the Office of Subsistence Management in this process, and an overall timeline for filling the position. Successful applicants will be expected to advertise, interview, and hire biologist, social scientist, and educator positions by the identified starting period in the cooperative agreement. Organizations that do not fill positions within the identified scheduled time period may receive notification of termination of the cooperative agreement. The Partners Program positions are expected to be dedicated to the program for the period identified in the cooperative agreement, with no ancillary duties related to the hiring organization.

4. Past Performance in Building Partnerships Among Tribal, Rural, State, Federal and Other Organizations: Describe the experience of the organization submitting the proposal in working on natural resource management issues (for fishery biologist and social scientist positions) or rural education and internship programs (for educator positions) with rural and tribal organizations, universities, schools, and government agencies. Articles, reports, letters, and other supporting information should be listed and copies included with the proposal.

5. Previous Experience in Mentoring, Training, and Supervising Personnel: Describe the experience of the organization submitting the proposal in mentoring, training, and supervising personnel. This information should demonstrate the ability of the organization to support positions so that proposal objectives will be met. Identify the supervisor of the positions and their title and qualifications, if known.

- 6. Stakeholder Coordination/Involvement:** As applicable, describe how you/your organization has coordinated with and involved other relevant organizations or individuals in planning the project, and detail if/how they will be involved in conducting program activities, disseminating program results and/or incorporating your results/products into their activities or if not applicable to your proposal please mark this is Not Applicable.
- 7. Program monitoring and Evaluation:** Detail the monitoring and evaluation plan for the position. Building on the stated project objectives, which must be specific and measurable, identify what you will measure (i.e., quantitative/quantifiable indicators) and how you will measure (i.e., methods, sample size, survey tools). Reference the stated project timetable (i.e., process indicators) and budget information (i.e., input indicators). Identify the products/services to be delivered and how/to whom they will be delivered (i.e., output indicators). Detail the expected direct effect(s) of the project on beneficiaries (i.e., outcome indicators). Include any available questionnaires, surveys, curriculum, exams/tests or other assessment tools to be used for program evaluation. Describe the resources and organizational structure available for gathering, analyzing and reporting monitoring and evaluation data. If applicable, describe how program participants and beneficiaries will participate in monitoring and evaluation activities. Describe how findings will be fed back into decision making and project activities throughout the project period.
- 8. Description of Entities Undertaking the Project:** Provide a brief description of the applicant organization and all participating entities and/or individuals. Identify which of the proposed activities each agency, organization, group, or individual is responsible for conducting or managing. Provide complete contact information for the individual within the organization that will oversee/manage the project activities on a day-to-day basis. If eligibility for funding is based in whole or in part on the qualifications of key personnel, provide for each key person a brief (**1-2 pages**) but descriptive overview of their education, experience and other skills that make them qualified to carry out the proposed project. To prevent unnecessary transmission of Personally Identifiable Information, ***do not include Social Security numbers, the names of family members,*** or any other personal or sensitive information including marital status, religion or physical characteristics on the description of key personnel qualifications.
- 9. Sustainability:** Sustainability is about maintaining and continuing program services beyond the scope of this grant. The organization will be evaluated on its ability to maintain the services that are developed during the life of this grant and become a permanent part of your organizations resources.

As applicable, describe your organizations sustainability plan for this program that ties together your program goals, possible strategies, implementation plan, and metrics for improving sustainability of the program beyond the scope of this grant.

You may wish to describe program activities that will continue beyond the proposed project period such as:

- any plans to increase infrastructure that will support the program into the future,
- who will continue the work or act on the results achieved after the grant expires,
- economic growth of the program income and organization capital, and
- how and at what level of funding does your organization expect this program to be funded at beyond the scope of this grant, and
- any training and technical assistance that will be required to foster growth and long-term sustainability.

Describe how the work funded by this grant will continue to enhance the organizations ability to participate in Federal subsistence management. The organization should clearly state how capacity of the organization will be built using the funds from this grant and how long-term growth and sustainability will be fostered and maintained beyond the scope of this grant.

10. Literature Cited: if not applicable to your proposal please mark this is Not Applicable.

11. Map of Project Area: Map should clearly delineate the proposal area and communities service. The map should be and be large enough to be legible. Label any sites referenced in the project narrative or if not applicable to your proposal please mark this is Not Applicable.

12. Statement(s) Regarding Single Audit Reporting:

Input the applicable statement from Section F. Single Audit Reporting Statements of this document.

D. Budget Form

Complete the **Budget Information for Non-Construction Programs (SF 424A)** or **Budget Information for Construction Programs (SF 424C) form**. Use the SF 424A if your project does not include construction and the SF 424C if the project includes construction or land acquisition. The budget forms are available on the Internet at <http://apply07.grants.gov/apply/FormLinks?family=15>. When developing your budget, keep in mind that financial assistance awards and subawards are subject to the Federal cost principles in Title 2 of the Code of Federal Regulations Part 200, as applicable to the recipient organization type.

Links to the full text of the Federal cost principles are available on the Internet at <http://www.ecfr.gov/>

Multiple Federal Funding Sources: If the project budget includes multiple Federal funding sources, you must show the funds being requested from this Federal program *separately* from any other requested/secured Federal sources of funding on the budget form. For example, enter the funds being requested from this Federal program in the first row of the Budget Summary section of the form and then enter funding related to other Federal programs in the subsequent row(s). Be sure to enter each Federal program's CFDA number in the corresponding fields on the form. The CFDA number for this Federal program appears on the first page of this funding opportunity.

E. Budget Justification

In a separate narrative titled "**Budget Justification**", explain and justify all requested budget items/costs. Detail how the SF 424 Budget Object Class Category totals were determined and demonstrate a clear connection between costs and the proposed project activities. For personnel salary costs, include the base-line salary figures and the estimates of time (as percentages) to be directly charged to the project. Describe any item that under the applicable Federal cost principles requires the Service's approval and estimate its cost. If Federally-funded equipment will be used for the project, provide a list of that equipment including the Federal funding source. Itemizes all budget items in sufficient detail to enable reviewers to evaluate the appropriateness of the funding requested. Budget items should be characterized as either direct (such as salary, benefits, travel, rentals, supplies,

Salary and benefits may be advertised as a range that must be quantified with the type of position, years of education and/ or experience. Salary increases are encouraged to cover annual cost of living increases. Benefit rates must be identified for each year funding is requested.

Annual travel expenses should be identified to cover project visits, meetings, conferences, and training. Additionally, annual travel should include at the least two meetings to Anchorage for coordination with the fishery biologist, social scientist, or educator positions and the Office of Subsistence Management staff; one meeting to Anchorage for coordination with the supervisor of the position and the Office of Subsistence Management staff; a one-week annual workshop in Anchorage for Partner organizations and positions; a one-day intern day in Anchorage for Partner organizations, positions, and interns; and at least one trip to regional advisory council meetings for positions. Identify any travel that is necessary for training; be specific.

Rentals, purchases of supplies (under \$5,000), and equipment (over \$5,000) must be clearly identified along with a justification as to the need of the item for the success of the program. For Partner Programs that received funding in 2012 -2014, identify supplies (under \$5,000) and equipment (over \$5,000) already purchased that is available for continued use. Include a description of the items and their current condition (provide supporting documentation when available such as photos, log books, maintenance records, etc.).

Organizations must identify and describe the office space that will be available to the individual hired for the biologist, social scientist, or educator positions. Identify the square footage of the office space, furniture description and condition, location, and cost. .

Required Indirect Cost Statement: All applicants except individuals applying for funds separate from a business or non-profit organization he/she may operate must include in the budget justification narrative one of the following statements and attach to their application any required documentation identified in the applicable statement:

“We are:

1. A U.S. state or local government entity receiving more than \$35 million in direct Federal funding each year with an indirect cost rate of [insert rate]. We submit our indirect cost rate proposals to our cognizant agency. A copy of our most recently approved rate agreement/certification is attached.
2. A U.S. state or local government entity receiving less than \$35 million in direct Federal funding with an indirect cost rate of [insert rate]. We are required to prepare and retain for audit an indirect cost rate proposal and related documentation to support those costs.
3. A [insert your organization type; U.S. states and local governments, please use one of the statements above or below] that has previously negotiated or currently has an approved indirect cost rate with our cognizant agency. Our indirect cost rate is [insert rate]. A copy of our most recently approved rate agreement is attached.
4. A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency. Our indirect cost rate is [insert rate]. In the event an award is made, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award is made.
5. A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency. Our indirect cost rate is [insert rate]. However, in the event an award is made, we will not be able to meet the requirement to submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after award. We request as a condition of award to charge a flat *de minimus* indirect cost rate of 10% of modified total direct costs as defined in Title 2 of the Code of Federal Regulations Part 200, section 200.68. We understand that the 10% *de minimus* rate will apply

for the life of the award, including any future extensions for time, and that the rate cannot be changed even if we do establish an approved rate with our cognizant agency at any point during the award period

6. A [insert your organization type] that is submitting this proposal for consideration under the [insert either “Cooperative Fish and Wildlife Research Unit Program” or “Cooperative Ecosystem Studies Unit Network”], which has a Department of the Interior-approved indirect cost rate cap of [insert program rate]. If we have an approved indirect cost rate with our cognizant agency, we understand that we must apply this reduced rate against the same direct cost base as identified in our approved indirect cost rate agreement. If we do not have an approved indirect cost rate with our cognizant agency, we understand that the basis for direct costs will be the modified total direct cost base defined in 2 CFR 200.68 “Modified Total Direct Cost (MTDC)”. We understand that we must request prior approval from the Service to use the MTDC base instead of the base identified in our approved indirect cost rate agreement, and that Service approval of such a request will be based on: 1) a determination that our approved base is only a subset of the MTDC (such as salaries and wages); and 2) that use of the MTDC base will still result in a reduction of the total indirect costs to be charged to the award.
7. A [insert your organization type] that will charge all costs directly.

All applicants are hereby notified of the following:

- Recipients without an approved indirect cost rate are prohibited from charging indirect costs to a Federal award. Accepting the 10% *de minimus* rate as a condition of award is an approved rate.
- Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award.
- Only the indirect costs calculated against the Federal portion of the total direct costs may be charged to the Federal award. Recipients may not charge to their Service award any indirect costs calculated against the portion of total direct costs charged to themselves or charged to any other project partner, Federal and non-Federal alike.
- Recipients must have prior written approval from the Service to transfer unallowable indirect costs to amounts budgeted for direct costs or to satisfy cost-sharing or matching requirements under the award.
- Recipients are prohibited from shifting unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.”

Applicants who are individuals applying for funds separate from a business or non-profit organization he/she may operate are not eligible to charge indirect costs to their award. If you are an individual applying for funding, do not include any indirect costs in your proposed budget.

For more information on indirect cost rates, see the Service’s **Indirect Costs and Negotiated Indirect Cost Rate Agreements** guidance document on the Internet at <http://www.fws.gov/grants/>.

Negotiating an Indirect Cost Rate with the Department of the Interior:

Entities that do not have a NICRA, must have an open, active Federal award before they can submit an indirect cost rate proposal to their cognizant agency. The Federal awarding agency that provides the largest amount of direct funding to your organization is your cognizant agency, unless otherwise assigned by the White House Office of Management and Budget (OMB). If the Department of the Interior is your cognizant agency, your indirect cost rate will be negotiated by the Interior Business Center (IBC). For more information, contact the IBC at:

Indirect Cost Services

Acquisition Services Directorate, Interior Business Center
U.S. Department of the Interior
2180 Harvard Street, Suite 430
Sacramento, CA 95815
Phone: 916-566-7111
Email: ics@nbc.gov
Internet address: <http://www.aqd.nbc.gov/Services/ICS.aspx>

- F. Single Audit Reporting Statements:** As required in Title 2 of the Code of Federal Regulations (CFR) 200, Subpart F, Audit Requirements, all U.S. states, local governments, federally-recognized Indian tribal governments, and non-profit organizations expending \$750,000 USD or more in Federal award funds in a fiscal year must submit a Single Audit report for that year through the Federal Audit Clearinghouse's Internet Data Entry System.

All U.S. state, local government, federally-recognized Indian tribal government and non-profit applicants **must** provide a statement regarding if your organization was or was not required to submit a Single Audit report for the organization's most recently closed fiscal year. If required, state that the report is available on the Federal Audit Clearinghouse Single Audit Database website (<http://harvester.census.gov/sac/>) and provide the EIN under which that report was submitted.

See the following statements **and include all applicable statements at the end of the Project Narrative in number 10, titled: Statement(s) Regarding Single Audit Reporting**

Single Audit Report was required:

My organization was required to submit a Single Audit report last year. The reporting period covered was from (insert date) to (insert date). This report, filed under EIN #(insert EIN), is available on the Federal Audit Clearinghouse Single Audit Database website (<http://harvester.census.gov/sac/>) or will be by (insert date).

OR

Single Audit Report was not required:

My organization was not required to submit a Single Audit report last year.

G. Assurances

Include the appropriate signed and dated Assurances form available online at <http://apply07.grants.gov/apply/FormLinks?family=15>. Use the **Assurances for Construction Programs (SF 424D)** for construction and land acquisition projects. Use the **Assurances for Non-Construction Programs (SF 424B)** for all other types of projects. . Signing this form does not mean that all items on the form are applicable. The form contains language that states that some of the assurances may not be applicable to your organization and/or your project or program.

H. Certification and Disclosure of Lobbying Activities:

Under Title 31 of the United States Code, Section 1352, an applicant or recipient must not use any federally appropriated funds (both annually appropriated and continuing appropriations) or matching funds under a grant or cooperative agreement award to pay any person for lobbying in connection with the award. Lobbying is defined as influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress connection with the award. Submission of an application also represents the applicant's Certification Regarding Lobbying. If you/your organization have/has made or agrees to

make any payment using non-appropriated funds for lobbying in connection with this project AND the project budget exceeds \$100,000, complete and submit the **SF LLL, Disclosure of Lobbying Activities** form. See 2 CFR 200.245, Lobbying and 2 FR 200.415, Certifications, for additional information.

- I. Conflict of Interest Disclosures:** Applicants must notify the Service in writing of any actual or potential conflicts of interest that are known at the time of application or that may arise during the life of this award, in the event an award is made. Conflicts of interest include any relationship or matter which might place the recipient, the recipient's employees, or the recipient's subrecipients in a position of conflict, real or apparent, between their responsibilities under the award and any other outside interests. Conflicts of interest may also include, but are not limited to, direct or indirect financial interests, close personal relationships, positions of trust in outside organizations, consideration of future employment arrangements with a different organization, or decision-making affecting the award that would cause a reasonable person with knowledge of the relevant facts to question the impartiality of the applicant, the applicant's employees, or the applicant's future subrecipients in the matter. Upon receipt of such a notice, the Service Project Officer in consultation with their Ethics Counselor will determine if a conflict of interest exists and, if so, if there are any possible actions to be taken by the applicant to reduce or resolve the conflict. Failure to resolve conflicts of interest in a manner that satisfies the Service may result in the project not being select for funding.

Application Checklist

- ☐ DUNS Registration (see requirement in Section III Basic Eligibility Requirements, A Duns Registration)
- ☐ SAM active registration (see requirement in Section III Basic Eligibility Requirements, B Entity Registration in SAM)
- ☐ **Evidence of non-profit status:** If a non-profit organization, a copy of their Section 501(c)(3) or (4) status determination letter received from the Internal Revenue Service.
- ☐ **SF 424, Application for Federal Assistance:** A complete, signed and dated SF 424, SF 424-Mandatory, or SF 424- Individual form
- ☐ **SF 424 Budget form:** A complete SF 424A or SF 424C Budget Information form
- ☐ **SF 424 Assurances form:** Signed and dated SF 424B or SF 424D Assurances form
- ☐ **Project summary**
- ☐ **Project narrative**
- ☐ **Timetable**
- ☐ **Single Audit Reporting statement:** If a U.S. state, local government, federally-recognized Indian tribal government, or non-profit organization, statements regarding applicability of and compliance with 2 CFR 200, Subpart F, Audit Requirement
- ☐ **Budget justification**
- ☐ **Federally-funded equipment list:** If Federally-funded equipment will be used for the project, a list of that equipment
- ☐ **NICRA:** When applicable, a copy of the organization's current Negotiated Indirect Cost Rate Agreement
- ☐ **Conflict of Interest Disclosures:** When applicable, written notification of any actual or potential conflicts of interest that may arise during the life of this award.

- ☐ **SF LLL form:** If applicable, completed SF-LLL Disclosure of Lobbying Activities form

Failure to provide complete information may cause delays, postponement, or rejection of the application.

V. Submission Instructions

SUBMISSION DEADLINE: All proposals are required information from the Application Checklist, are to be submitted to the Office of Subsistence Management **by August 31, 2015, 5:00 PM Alaska time.**

Intergovernmental Review: Before submitting an application, **U.S. state and local government** applicants should visit the following website (http://www.whitehouse.gov/omb/grants_spoc/) to determine whether their application is subject to the state intergovernmental review process under Executive Order (E.O.) 12372 “Intergovernmental review of Federal Programs.” E.O. 12372 was issued to foster the intergovernmental partnership and strengthen federalism by relying on state and local processes for the coordination and review of proposed Federal financial assistance and direct Federal development. The E.O. allows each state to designate an entity to perform this function. The official list of designated entities is posted on the website. Contact your state’s designated entity for more information on the process the state requires to be followed when applying for assistance. States that do not have a designated entity listed on the website have chosen not to participate in the review process.

Applicants may request an application package from the Kay LarsonBlair, Office of Subsistence Management, U.S. Fish and Wildlife Service, 1011 East Tudor Road, MS 121, Anchorage, Alaska 99503, phone: 907-786-3663, email: Kay_LarsonBlair@fws.gov. The application package may also be accessed on the web at <http://www.doi.gov/subsistence/monitor/fisheries/index.cfm> , under “Applying for Funding.”

Download the Application Package linked to this Funding Opportunity on Grants.gov to begin the application process. Downloading and saving the Application Package to your computer makes the required government-wide standard forms fillable and printable. Completed applications may be submitted by mail, by email, electronically through Grants.gov, or as otherwise described in the Grants.gov funding opportunity. Please select **ONE** of the submission options:

To submit an application by mail:

Number all pages of your printed application. Mail one, single-sided, unbound copy (do not staple or otherwise permanently bind pages) of your complete application to the Service program point of contact identified in the Grants.gov funding opportunity.

The required SF 424 Application for Federal Assistance and Assurances forms and any other required standard forms **MUST** be signed by your organization’s authorized official. The Signature and Date fields on the standard forms downloaded from Grants.gov are pre-populated with the text “Completed by Grants.gov upon submission” or “Completed on submission to Grants.gov”. **Remove this text (manually or digitally) before signing the forms.**

Applications may be mailed to U.S. Fish and Wildlife Service, Partner Program, Fisheries Division, 1011 East Tudor Road, MS 121, Anchorage, Alaska 99503.

To submit an application by e-mail:

Format all of your documents to print on Letter size (8 ½” x 11”) paper. Format all pages to display and print page numbers. Scanned documents should be scanned in Letter format, as black and white images only. Where possible, save scanned documents in .pdf format. E-mail your application to the Service program point of contact identified in the Grants.gov funding opportunity.

The required SF 424 Application for Federal Assistance and Assurances forms and any other required standard forms MUST be signed by your organization's authorized official. The Signature and Date fields on the standard forms downloaded from Grants.gov are pre-populated with the text "Completed by Grants.gov upon submission" or "Completed on submission to Grants.gov". Remove this text (manually or digitally) before signing the forms.

Applications may be emailed to fisheries_resource_monitoring@fws.gov

To submit an application through Grants.gov:

Go to the Grants.gov Apply for Grants page (http://www07.grants.gov/applicants/apply_for_grants.jsp) for an overview of the process to apply through Grants.gov. You/your organization must complete the Grants.gov registration process before submitting an application through Grants.gov. Registration can take between three to five business days, or as long as two weeks if all steps are not completed in a timely manner.

Important note on Grants.gov application attachment file names: Please do not assign application attachments file names longer than 20 characters, including spaces. Assigning file names longer than 20 characters will create issues in the automatic interface between Grants.gov and the Service's financial assistance management system.

VI. Application Review

Each program application will be evaluated on how the proposed program addresses the six following criteria:

1. Developing and maintaining credibility and open communication with partners in resource conservation, management, and monitoring, including all stakeholders.
2. Providing outreach and education to facilitate working together with stakeholders to better include their knowledge in the decision making process.
3. Strengthening existing or develop new collaborative management relationships between stakeholders.
4. Providing and promoting opportunities for youth awareness and engagement in monitoring, conservation, and management of subsistence resources.
5. Making collaborative management more effective by developing a greater understanding of different approaches to conservation principles.
6. Developing capacity and sustainability for long-term meaningful participation in Federal Subsistence Management beyond the scope of this grant.

Five additional considerations will be taken into account for each applicant:

1. Previous Performance on current or past agreements with the Office of Subsistence Management.
2. Previous experience in mentoring, training, and supervising personnel.
3. Annual and total cost will be taken into consideration and those proposals found to provide a cost

benefit to the Government will be rated higher;

4. Past and current accounting practices to ensure deliverables and accountability.

Review and Selection Process:

Proposal will be reviewed and evaluated by a four to six professionals from government and nonprofit organizations with a history of partnerships and capacity building. This panel will provide evaluation, technical oversight, and strategic direction to the Partners Program. Based on the above criteria, funding recommendations will be made for each proposal. Prior to participating in any review or evaluation process, all panel members must sign and return to the program office point of contact the "Department of the Interior Conflict of Interest Certification" form. For a copy of this form, contact the Service point of contact identified in the Agency Contacts section below.

Risk Assessment:

As part of the review process, the U.S. Fish and Wildlife Service is required in accordance with the Department of Interior Guidance (DIG 2011-03), to conduct a risk assessment prior to the award of an Financial Assistance Agreements or a revision to increase funding.. The requirement states that once a Fiscal Year (FY) each recipient, who will be awarded one or more grant or cooperative agreement award(s), will have a risk assessment conducted. The risk assessment will include, but is not limited to the following areas:

- Potential for Implementation problems
- Financial Management Systems and Funds Management Records
- Performance Track Record
- Staff Level and Key Qualifications
- Project Delivery Experience
- Award Administration and Reporting Compliance
- Single Audit Submissions and Results
- Other Factors that may Impact Risk Level

Cost Benefit

Cost/Price Factors

Applicant's cost/price proposal will be evaluated for reasonableness. For a price to be reasonable, it must represent a price to the government that a prudent person would pay when consideration is given to prices in the market. Normally, price reasonableness is established through adequate price competition, but may also be determined through cost and price analysis techniques.

Selection for Award

Applicant should be aware that the Government shall perform a "best value analysis" and the selection for award shall be made to the Applicant whose proposal is most advantageous to the Government, taking into consideration the technical factors listed above and the total proposed price across all agreement periods. Matching funds will be factored into the review process based on overall value to the Government.

The results of the assessment will determine the appropriate level of monitoring activities that the Service will require for successful project/award completion. If you are selected for award under this NOFO, all applicable monitoring protocols will be incorporated into your Notice of Award Letter (NOAL).

VII. Federal Award Administration

Federal Award Notices: Following review, applicants may be requested to revise the project scope and/or budget before an award is made. You may receive an information notice of intent from the Service program Project Officer stating that your application has been selected for the intent to award, this is not the official Notice of Award. Successful applicants will receive written notice in the form of a notice of award document. Notices of award are typically sent to recipients by e-mail. If e-mail notification is unsuccessful, the documents will be sent by courier mail (e.g., FedEx, DHL or UPS). Award recipients are not required to sign/return the Notice of Award document. Acceptance of an award is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by, the Service. The notice of award document will include instructions specific to each recipient on how to request payment. If applicable, the instructions will detail any additional information/forms required and where to submit payment requests. Applicants whose projects are not selected for funding will receive written notice, most often by e-mail, within 30 days of the final review decision.

Administrative and National Policy Requirements:

- i. **Domestic Recipient Payments:** Prior to award, the Service program office will contact you/your organization to either enroll in the U.S. Treasury's Automated Standard Application for Payments (ASAP) system or, if eligible, obtain approval from the Department of the Interior to be waived from using ASAP.
- ii. **Transmittal of Sensitive Data:** Recipients are responsible for ensuring any sensitive data being sent to the Service is protected during its transmission/delivery. The Service strongly recommends that recipients use the most secure transmission/delivery method available. The Service recommends the following digital transmission methods: secure digital faxing; encrypted emails; emailing a password protected zipped/compressed file attachment in one email followed by the password in a second email; or emailing a zipped/compressed file attachment. The Service strongly encourages recipients sending sensitive data in paper copy to use a courier mail service. Recipients may also contact their Service Project Officer and provide any sensitive data over the telephone.
- iii. **Award Terms and Conditions:** Acceptance of a financial assistance award (i.e., grant or cooperative agreement) from the Service carries with it the responsibility to be aware of and comply with the terms and conditions applicable to the award. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service and are subject to the terms and conditions incorporated into the notice of award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Federal regulations applicable to Service awards are available on the Internet at <http://www.fws.gov/grants/>. If you do not have access to the Internet and require a full text copy of the award terms and conditions, contact the Service point of contact identified in the Agency Contacts section below.

Recipient Reporting Requirements:

- i. **Financial and Performance Reports:** Interim financial reports and performance reports may be required. Interim reports will be required no more frequently than quarterly, and no less frequently than annually. A final financial report and a final performance report will be required and are due within 90 calendar days of the end date of the award. Performance reports must contain: 1) a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work; 2) a description of reasons why established goals were not met, if appropriate; and 3) any other pertinent information relevant to the project results.

ii. **Significant Development Reports:**

Events may occur between the scheduled performance reporting dates that have significant impact upon the supported activity. In such cases, recipients are required to notify the Service in writing as soon as the following types of conditions become known:

- Problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation.
- Favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

The Service will specify in the notice of award document the reporting and reporting frequency applicable to the award.

iii. **Conflict of Interest Disclosures:**

Recipients are responsible for notifying the Service Project Officer in writing of any actual or potential conflicts of interest that may arise during the life of this award. Conflicts of interest include any relationship or matter which might place the recipient, the recipient's employees, or the recipient's subrecipients in a position of conflict, real or apparent, between their responsibilities under this award and any other outside interests. Conflicts of interest may also include, but are not limited to, direct or indirect financial interests, close personal relationships, positions of trust in outside organizations, consideration of future employment arrangements with a different organization, or decision-making affecting the award that would cause a reasonable person with knowledge of the relevant facts to question the impartiality of the Recipient, the Recipient's employees, or the Recipient's subrecipients in the matter. Upon receipt of such a notice, the Service Project Officer in consultation with their Ethics Counselor will determine if a conflict of interest exists and, if so, if there are any possible actions to be taken by the Recipient, the Recipient's employee(s), or the Recipient's Subrecipient(s) that could reduce or resolve the conflict. Failure to resolve conflicts of interest in a manner that satisfies the Service may result in any of the remedies described in 2 CFR 200.338, Remedies for Noncompliance, including termination of this award.

iv. **Other Mandatory Disclosures:**

Recipients and their subrecipients must disclose, in a timely manner and in writing, to the Service or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting this award. Failure to make required disclosures can result in any of the remedies described in 2 CFR 200.338, Remedies for noncompliance, including suspension or debarment (See 2 CFR 200.113, 2 CFR Part 180, and 31 U.S.C. 3321).

VIII. Federal Awarding Agency Contact(s)

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Service, 1011 East Tudor Road, MS 121
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